

P.O. Box 299
1568 S. 1000 Rd.
Council Grove, KS 66846-0299
620-767-5153
FAX 620-767-5199
(Toll Free) 1-800-362-2576
www.tctelco.net

October 25, 2013

Federal Communications Commission
Office of the Secretary
445 12th Street, SW
Washington, DC 20554

RE: WC Docket No. 10-90 & WC Docket No. 11-42

Tri-County Telephone Company, Inc. Study Area Code 411839 files the attached redacted versions of the FCC Form 481 ETC annual reporting information pursuant to sections 54.313 and 54.422 of the Commission's rules. Tri-County Telephone Company, Inc. Study Area Code 411839 seeks confidential treatment under Protective Order for section 54.313(f)(2) financial information, to be filed confidentially via mail process. If you have any questions or concern please contact me at (620) 767-5153.

Thank you,

A handwritten signature in black ink, appearing to read 'Jack', with a long, sweeping horizontal line extending to the right.

Jason C. Pettit
Controller
Tri-County Telephone Association, Inc.
1568 S. 1000 Road
Council Grove, KS 66846

OFFICERS

Dan D. Reiff, President
Kent E. Rock, Vice President
Jan R. Oleen, Treasurer
Ellen E. DeLay, Secretary

DIRECTORS

Anita M. Hummel
Alona F. Hedstrom
Linda L. Wessel
Randy J. Parker
Larry D. Johnson
Kenny A. Stroda
Shawn M. Tiffany

CEO

Dale L. Jones

FCC Form 481 - Carrier Annual Reporting Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	411839
<015> Study Area Name	TRI-COUNTY TEL ASSN
<020> Program Year	2014
<030> Contact Name: Person USAC should contact with questions about this data	Jason C. Pettit
<035> Contact Telephone Number: Number of the person identified in data line <030>	620-767-7492
<039> Contact Email Address: Email of the person identified in data line <030>	jpettit@tctainc.net

ANNUAL REPORTING FOR ALL CARRIERS			54.313 Completion Required	54.422 Completion Required
(check box when complete)				
<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input checked="" type="checkbox"/>		
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<210> <input type="checkbox"/> <-- check box if no outages to report				
<300> Unfulfilled Service Requests (voice)	0	<input checked="" type="checkbox"/>		
<310> Detail on Attempts (voice)	(attach descriptive document)	<input checked="" type="checkbox"/>		
<320> Unfulfilled Service Requests (broadband)	0	<input checked="" type="checkbox"/>		
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input checked="" type="checkbox"/>		
<400> Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<410> Fixed	2.0			
<420> Mobile	0.0			
<430> Number of Complaints per 1,000 customers (broadband)		<input checked="" type="checkbox"/>		
<440> Fixed	0.0			
<450> Mobile	0.0			
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<510> 411839KS510	(attached descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<610> 411839KS610	(attached descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>		
<710> Company Price Offerings (broadband)	(complete attached worksheet)			
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
<900> Tribal Land Offerings (Y/N)? <input type="radio"/> <input checked="" type="radio"/>	(if yes, complete attached worksheet)	<input checked="" type="checkbox"/>		
<1000> Voice Services Rate Comparability	(check to indicate certification)			
<1010> <input type="checkbox"/>	(attach descriptive document)			
<1100> Terrestrial Backhaul (Y/N)? <input checked="" type="radio"/> <input type="radio"/>	(if not, check to indicate certification)	<input checked="" type="checkbox"/>		
<1110>	(complete attached worksheet)			
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)		<input checked="" type="checkbox"/>	

Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000>	(check to indicate certification)	<input type="checkbox"/>	
<2005>	(complete attached worksheet)		

Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet

<3000>	(check to indicate certification)	<input checked="" type="checkbox"/>	
<3005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	

**(100) Service Quality Improvement Reporting
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010> Study Area Code 411839
 <015> Study Area Name TRI-COUNTY TEL ASSN
 <020> Program Year 2014
 <030> Contact Name - Person USAC should contact regarding this data Jason C. Pettit
 <035> Contact Telephone Number - Number of person identified in data line <030> 620-767-7492
 <039> Contact Email Address - Email Address of person identified in data line <030> jp Pettit@tctainc.net

<110> Has your company received its ETC certification from the FCC? (yes / no) ☒ ☐
 If your answer to Line <110> is yes, do you have an existing §54.202(a) "5
 <111> year plan" filed with the FCC? (yes / no) ☐ ☒

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

 Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

<113> Maps detailing progress towards meeting plan targets
 <114> Report how much universal service (USF) support was received
 <115> How (USF) was used to improve service quality
 <116> How (USF) was used to improve service coverage
 <117> How (USF) was used to improve service capacity
 <118> Provide an explanation of network improvement targets not met in the prior calendar year.

<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

<010>	Study Area Code	411033
<015>	Study Area Name	TRI-COUNTY TEL ASSN
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
<035>	Contact Telephone Number - Number of person identified in data line <030>	620-767-7492
<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

-- See attached worksheet --

Page 4

July 2013

<010>	Study Area Code	2014
<015>	Study Area Name	TRI-COUNTY TEL ASSN
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
<035>	Contact Telephone Number - Number of person identified in data line <030>	620-767-7492
<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

1/1/2013
16.25

-- See attached worksheet

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OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

[illegible]

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

[illegible]

**(900) Tribal Lands Reporting
Data Collection Form**

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	411839
<015>	Study Area Name	TRI-COUNTY TEL ASSN
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
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<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

<910> Tribal Land(s) on which ETC Serves

<920> Tribal Government Engagement Obligation

Name of Attached Document (.pdf)

If your company serves Tribal lands, please select (Yes,No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions;
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

Select (Yes,No, NA)

**(1100) No Terrestrial Backhaul Reporting
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	411839
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<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

<1120> Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G) ☐

<1130> Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G) ☐

(1200) Terms and Condition for Lifeline Customers
Lifeline
Data Collection Form

FCC Form 481
 OMB Control No. 3060-0986/OMB Control No. 3060-0819
 July 2013

<010>	Study Area Code	411839
<015>	Study Area Name	TRI-COUNTY TEL ASSN
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<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

<1210>	Terms & Conditions of Voice Telephony Lifeline Plans	411839KS1210
		Name of attached document (.pdf)

<1220>	Link to Public Website	HTTP
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"Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

<1221>	Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,	<input checked="" type="checkbox"/>
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<1222>	Details on the number of minutes provided as part of the plan,	<input checked="" type="checkbox"/>
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<1223>	Additional charges for toll calls, and rates for each such plan.	<input checked="" type="checkbox"/>
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(2000) Price Cap Carrier Additional Documentation

Data Collection Form

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

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<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.

Incremental Connect America Phase I reporting

<2010> 2nd Year Certification {47 CFR § 54.313(b)(1)}
 <2011> 3rd Year Certification {47 CFR § 54.313(b)(2)}

Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}

<2012> 2013 Frozen Support Certification
 <2013> 2014 Frozen Support Certification
 <2014> 2015 Frozen Support Certification
 <2015> 2016 and future Frozen Support Certification

Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}

<2016> Certification Support Used to Build Broadband

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Connect America Phase II Reporting {47 CFR § 54.313(e)}

<2017> 3rd year Broadband Service Certification
 <2018> 5th year Broadband Service Certification
 <2019> Interim Progress Certification
 <2020> Please check the box to confirm that the attached PDF, on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.

<2021> Interim Progress Community Anchor Institutions

Name of Attached Document Listing Required Information

(3000) Rate Of Return Carrier Additional Documentation Data Collection Form	FCC Form 481
	OMB Control No. 3060-0986/OMB Control No. 3060-0819
	July 2013

<010>	Study Area Code	411839
<015>	Study Area Name	TRI-COUNTY TEL ASSN
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
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<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

Progress Report on 5 Year Plan

(3010)	Milestone Certification (47 CFR § 54.313(f)(1)(i)) Please check this box to confirm that the attached PDF, on line 3012, contains the required information pursuant to § 54.313 (f)(1)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.	Name of Attached Document Listing Required Information	<input type="checkbox"/>
(3011)			
(3012)	Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))	Name of Attached Document Listing Required Information	<input checked="" type="checkbox"/> (Yes/No)
(3013)	Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))		<input checked="" type="checkbox"/> (Yes/No)
(3014)	If yes, does your company file the RUS annual report Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:		<input checked="" type="checkbox"/>
(3015)	Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)		<input checked="" type="checkbox"/>
(3016)	PDF of Balance Sheet, Income Statement and Statement of Cash Flows		
(3017)	If the response is yes on line 3014, attach your company's RUS annual report and all required documentation	Name of Attached Document Listing Required Information	411839KS3017
(3018)	If the response is no on line 3014, Is your company audited? If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:		<input type="checkbox"/> (Yes/No)
(3019)	Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications		<input type="checkbox"/>
(3020)	PDF of Balance Sheet, Income Statement and Statement of Cash Flows		<input type="checkbox"/>
(3021)	Management letter issued by the independent certified public accountant that performed the company's financial audit. If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:		<input type="checkbox"/>
(3022)	Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers,		<input type="checkbox"/>
(3023)	Underlying information subjected to a review by an independent certified public accountant		<input type="checkbox"/>
(3024)	Underlying information subjected to an officer certification.		<input type="checkbox"/>
(3025)	PDF of Balance Sheet, Income Statement and Statement of Cash Flows		
(3026)	Attach the worksheet listing required information	Name of Attached Document Listing Required Information	

Certification - Reporting Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	411839
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<039> Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	TRI-COUNTY TEL ASSN
Signature of Authorized Officer:	CERTIFIED ONLINE
	Date
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier:	411839
Filing Due Date for this form:	10/15/2013
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Certification - Agent / Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	411839
<015> Study Area Name	TRI-COUNTY TEL ASSN
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
<035> Contact Telephone Number - Number of person identified in data line <030>	620-767-7492
<039> Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) _____ is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent: _____	
Name of Reporting Carrier: TRI-COUNTY TEL ASSN	
Signature of Authorized Officer: CERTIFIED ONLINE	Date: _____
Printed name of Authorized Officer: _____	
Title or position of Authorized Officer: _____	
Telephone number of Authorized Officer: _____	
Study Area Code of Reporting Carrier: 411839	Filing Due Date for this form: 10/15/2013
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier: TRI-COUNTY TEL ASSN	
Name of Authorized Agent or Employee of Agent: _____	
Signature of Authorized Agent or Employee of Agent: CERTIFIED ONLINE	Date: _____
Printed name of Authorized Agent or Employee of Agent: _____	
Title or position of Authorized Agent or Employee of Agent: _____	
Telephone number of Authorized Agent or Employee of Agent: _____	
Study Area Code of Reporting Carrier: 411839	Filing Due Date for this form: 10/15/2013
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Attachments

REDACTED - FOR PUBLIC INSPECTION

(200) Service Outage Reporting (Voice) Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	411839
<015>	Study Area Name	TRI-COUNTY TEL ASSN
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
<035>	Contact Telephone Number - Number of person identified in data line <030>	620-767-7492
<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@ctctainc.net

[illegible]

REDACTED - FOR PUBLIC INSPECTION

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

July 2013

<010> Study Area Code

<015> Study Area Name

<020> Program Year

<030> Contact Name - Person USAC should contact regarding this data

<035> Contact Telephone Number - Number of person identified in data line <030>

<039> Contact Email Address - Email Address of person identified in data line <030>

1/1/2013

16.25

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[illegible]

REDACTED - FOR PUBLIC INSPECTION

**(800) Operating Companies
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	411839
<015>	Study Area Name	TRI-COUNTY TEL ASSN
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
<035>	Contact Telephone Number - Number of person identified in data line <030>	620-767-7492
<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net
<810>	Reporting Carrier	Tri-County Telephone Association Inc.
<811>	Holding Company	Tri-County Telephone Association Inc.
<812>	Operating Company	Tri-County Telephone Association Inc.

[illegible]

Line 510: Service Quality Standards & Consumer Protection Rules Compliance**Service Quality Standards**

The company complies with the service quality standards as adopted in the Kansas Corporation Commission (KCC) Docket Nos. 191,206-U and 95-GIMT-047-GIT.

Consumer Protection Rules

The company complies with the following consumer protection rules:

- FCC rules regarding verification of orders for telecommunications service as required of submitting carriers {47 CFR §64.1100}
- The FCC's Truth-in-Billing Requirements {47 CFR §64.2400}
- Billing practice standards as set out in KCC Docket No. 06-GIMT-187-GIT and subsequent billing practice standards approved by the KCC.
- All of the requirements of 47 C.F.R. § Part 64 Subpart U, Customer Proprietary Network Information and Federal Trade Commission 16 C.F.R. §681, Identity Theft Red Flags

Line 610: Functionality in Emergency Situations

- The company maintains a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations. {47 CFR §54.202(a)}
- The company has made reasonable provisions to meet emergencies resulting from power failures; sudden and prolonged increases in traffic; staff shortages; and fire, storm, and acts of god.

Tri-County Telephone Association Inc.

Lifeline Program

Must meet the qualifications set forth by the Federal and State guidelines. (see attached sheets for guidelines)

Pricing:

Local Service	\$ 16.25	Includes unlimited local calling only no features or long distance.*
SLEC	\$ 6.50	Single Line End User Charge
Discount	<u>\$ (17.02)</u>	Federal and State discount total
Total**	\$ 5.73	Total before applicable taxes and fees**

To continue to receive the discounts there is a yearly recertification process that needs to be completed. If this is not done by the recertification date then your discounts will be discontinued and you will be charged as a regular customer and not a lifeline customer.

If you have any questions or concerns about the Lifeline Program please contact a Customer Service Representative at 620-767-5153 or 1-800-362-2576 or stop by our Solutions Center located at 923 W. Main St., Council Grove KS 66846. Office hours are Monday -Friday 8:00 AM to 5:00 PM

*Calling features and long distance are charged at normal tariff rates, please refer to our website (www.tctelco.net) for pricing information

**All taxes and fees will be charged accordingly to Federal, State and Local Laws.

KANSAS LIFELINE CERTIFICATION FORM

KLC Form Issue Date: Mar 2012

COMPANY INFORMATION:

Company Name: Tri-County Telephone Assoc, Inc Company Address: 1568 S 1000 RD, Council Grove, KS 66846

Company Contact Name: Dale Jones

Telephone Number: 620-767-5153

Contact's E-mail Address: djones@tctainc.net

SUBSCRIBER INFORMATION:

Subscriber's Full Name:

Subscriber's Full Residential Address:

(No P.O. Boxes) ☐ Permanent ☐ Temporary

Temporary Residential Address:

(e.g. shelter, friend, family member, etc.)

In the case of addresses not recognized by the post office, including residences on Tribal land, provide a descriptive address that can be used to perform a check for duplicative support.

Subscriber's Lifeline Billing Address (P.O. Boxes Allowed):

☐ Check If Same as Residential Address

Subscriber's Date of Birth: MM / DD / YYYY

Subscriber's last Four Digits of SS No.: XXXX

Subscriber's Tribal ID Number if no SS No.: XXXXXXXXXXXX

① Subscriber seeking to qualify for Lifeline under program-based criteria check all applicable boxes below:

- ☐ Medicaid ☐ SNAP ☐ SSI ☐ FPHA (Section 8) ☐ LIHEAP ☐ TANF
☐ National School Lunch Program (Free Lunch Program) ☐ General Assistance (GA) ☐ Food Dist. Program

② Subscriber eligible resident on Tribal Lands check all applicable boxes below:

- ☐ Tribally Admin Free School Lunch Program ☐ Tribal TANF ☐ FDIR
☐ Head Start (those meeting income standard) ☐ Bureau of Indian Affairs GA

③ Subscriber seeking to qualify for Lifeline under the income-based criterion, provide the number of individuals in residential household:

Number in household

Note: A consumer must provide THREE CONSECUTIVE MONTHS of statements as documentation of income, or provide a copy of their tax return for the previous year.

<See Back of Form>

Lifeline is a federal benefit and that willfully making false statements to obtain the benefit can result in fines, imprisonment, de-enrollment or being barred from the program.

KANSAS LIFELINE PROGRAM

*Save up to
\$17.02 off your
telephone bill!*

You may be eligible to receive up to \$17.02 off your monthly local telephone bill through the *Lifeline Program*.

You are eligible if you receive any of the following:

Supplemental Nutrition Assistance Program, General Assistance, Bureau of Indian Affairs General Assistance, Temporary Assistance for Needy Families, Tribally Administered Temporary Assistance for Needy Families, Medicaid, Supplemental Security Income (SSI), Head Start (tribal programs for only those meeting its income qualifying standard), Free School Lunch Program, Tribally Administered Free School Lunch Program, Food Distribution Program, Low Income Energy Assistance Program (LIEAP), Section 8 Public Housing Assistance, Food Distribution Program on Tribal Lands, or 150% of the federal poverty level*. A consumer must provide THREE CONSECUTIVE MONTHS of statements as documentation of income, or provide a copy of his or her tax return for the previous year.

For more information about *Kansas Lifeline*, call your local telephone company. The number is on your telephone bill or in the front part of the telephone directory.

***2013 Kansas Poverty Level Guidelines**

Number In Household	Maximum Annual Income
1	\$17,235
2	\$23,265
3	\$29,295
4	\$35,325
5	\$41,355
6	\$47,385
7	\$53,415
8	\$59,445
Each additional person in household	\$ 6,030

The Kansas Lifeline program is 150% of the 2013 federal poverty level.



PUBLIC ASSISTANCE – Needed Documentation

FOOD STAMPS – Copy of front and back of card and current grocery store receipt.

MEDICAID – Copy of current Medicaid card or signed letter from Social Service Agency Representative indicating program participation.

GENERAL ASSISTANCE – Signed letter from Social Service Agency Representative indicating program participation.

SUPPLEMENTAL SECURITY INCOME (SSI) – Signed letter from Social Security Administration indicating program participation.

FREE SCHOOL LUNCH PROGRAM – Copy of approved application from the school.

HEAD START – Copy of approved application for those meeting its income qualifying standard.

TEMPORARY ASSISTANCE TO FAMILIES – Signed letter from Social Service Agency Representative indicating program participation.

UNITED TRIBES FOOD DISTRIBUTION PROGRAM – Proof of participation in program.

BUREAU OF INDIAN AFFAIRS GENERAL ASSISTANCE – Proof of assistance.

TRIBALLY ADMINISTERED TEMPORARY ASSISTANCE FOR NEEDY FAMILIES – Proof of assistance.

INCOME – Needed Documentation

Copy of 2013 federal or state income tax return, or three consecutive months of paystubs from all household members earning income.

***2013 Kansas Poverty Level Guidelines**

Number In Household	Maximum Annual Income
1	\$17,235
2	\$23,265
3	\$29,295
4	\$35,325
5	\$41,355
6	\$47,385
7	\$53,415
8	\$59,445

Each additional person in household \$ 6,030
The Kansas Lifeline program is 150% of the 2013 federal poverty level.

Tri-County Telephone Association, Inc.
Memorandum on Inclusion of RUS Operating Report with FCC Form 481 Filing
For the Year Ended 12/31/12

All RUS/RTB/USDA loans for Tri-County Telephone Association, Inc. were combined with those of their wholly owned subsidiary Council Grove Telephone Company beginning October 2009. The RUS Operating report is prepared and filed on a combined basis, which includes the financial information for both Tri-County Telephone Association, Inc. and Council Grove Telephone Company.

For purposes of filing the RUS Operating Report as of 12/2012 with the FCC Form 481, We are including the RUS Operating Report that was filed with the USDA, which includes financial information for both Companies stated above. We have chosen to also include the individual company financial information for Tri-County Telephone Association, Inc. in the financial format presented in the Form 481 for your reference.

REDACTED - FOR PUBLIC INSPECTION

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0572-0031. The time required to complete this information collection is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS		This data will be used by RUS to review your financial situation. Your response is required by 7 U.S.C. 901 et seq. and, subject to federal laws and regulations regarding confidential information, will be treated as confidential. BORROWER NAME The Tri-County Telephone Association, Inc.			
INSTRUCTIONS -Submit report to RUS within 30 days after close of the period. For detailed instructions, see RUS Bulletin 1744-2. Report in whole dollars only.		PERIOD ENDING December, 2012		BORROWER DESIGNATION KS0563	
CERTIFICATION We hereby certify that the entries in this report are in accordance with the accounts and other records of the system and reflect the status of the system to the best of our knowledge and belief. ALL INSURANCE REQUIRED BY 7 CFR PART 1788, CHAPTER XVII, RUS, WAS IN FORCE DURING THE REPORTING PERIOD AND RENEWALS HAVE BEEN OBTAINED FOR ALL POLICIES. DURING THE PERIOD COVERED BY THIS REPORT PURSUANT TO PART 1788 OF 7CFR CHAPTER XVII (Check one of the following)					
<input type="checkbox"/> All of the obligations under the RUS loan documents have been fulfilled in all material respects.					
<input type="checkbox"/> There has been a default in the fulfillment of the obligations under the RUS loan documents. Said default(s) is/are specifically described in the Telecom Operating Report					
_____ DATE _____					
PART A. BALANCE SHEET					
ASSETS	BALANCE PRIOR YEAR	BALANCE END OF PERIOD	LIABILITIES AND STOCKHOLDERS' EQUITY	BALANCE PRIOR YEAR	BALANCE END OF PERIOD
CURRENT ASSETS			CURRENT LIABILITIES		
1. Cash and Equivalents			25. Accounts Payable		
2. Cash-RUS Construction Fund			26. Notes Payable		
3. Affiliates:			27. Advance Billings and Payments		
a. Telecom, Accounts Receivable			28. Customer Deposits		
b. Other Accounts Receivable			29. Current Mat. L/T Debt		
c. Notes Receivable			30. Current Mat. L/T Debt-Rur. Dev.		
4. Non-Affiliates:			31. Current Mat.-Capital Leases		
a. Telecom, Accounts Receivable			32. Income Taxes Accrued		
b. Other Accounts Receivable			33. Other Taxes Accrued		
c. Notes Receivable			34. Other Current Liabilities		
5. Interest and Dividends Receivable			35. Total Current Liabilities (25 thru 34)		
6. Material-Regulated			LONG-TERM DEBT		
7. Material-Nonregulated			36. Funded Debt-RUS Notes		
8. Prepayments			37. Funded Debt-RTB Notes		
9. Other Current Assets			38. Funded Debt-FFB Notes		
10. Total Current Assets (1 Thru 9)			39. Funded Debt-Other		
NONCURRENT ASSETS			40. Funded Debt-Rural Develop. Loan		
11. Investment in Affiliated Companies			41. Premium (Discount) on L/T Debt		
a. Rural Development			42. Recaptured Debt		
b. Nonrural Development			43. Obligations Under Capital Lease		
12. Other Investments			44. Adv. From Affiliated Companies		
a. Rural Development			45. Other Long-Term Debt		
b. Nonrural Development			46. Total Long-Term Debt (36 thru 45)		
13. Nonregulated Investments			OTHER LIAB. & DEF. CREDITS		
14. Other Noncurrent Assets			47. Other Long-Term Liabilities		
15. Deferred Charges			48. Other Deferred Credits		
16. Jurisdictional Differences			49. Other Jurisdictional Differences		
17. Total Noncurrent Assets (11 thru 16)			50. Total Other Liabilities and Deferred Credits (47 thru 49)		
PLANT, PROPERTY, AND EQUIPMENT			EQUITY		
18. Telecom, Plant-In-Service			51. Cap. Stock Outstand. & Subscribed		
19. Property Held for Future Use			52. Additional Paid-In-Capital		
20. Plant Under Construction			53. Treasury Stock		
21. Plant Adj., Nonop. Plant & Goodwill			54. Membership and Cap. Certificates		
22. Less Accumulated Depreciation			55. Other Capital		
23. Net Plant (18 thru 21 less 22)			56. Patronage Capital Credits		
24. TOTAL ASSETS (10+17+23)			57. Retained Earnings or Margins		
			58. Total Equity (51 thru 57)		
			59. TOTAL LIABILITIES AND EQUITY (35+46+50+58)		

Total Equity = 39.19% of Total Assets

See Accountant's Compilation Report

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS		BORROWER DESIGNATION KS0583	
INSTRUCTIONS- See RUS Bulletin 1744-2		PERIOD ENDING December, 2012	
PART B. STATEMENTS OF INCOME AND RETAINED EARNINGS OR MARGINS			
ITEM		PRIOR YEAR	THIS YEAR
1. Local Network Services Revenues			
2. Network Access Services Revenues			
3. Long Distance Network Services Revenues			
4. Carrier Billing and Collection Revenues			
5. Miscellaneous Revenues			
6. Uncollectible Revenues			
7. Net Operating Revenues (1 thru 5 less 6)			
8. Plant Specific Operations Expense			
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)			
10. Depreciation Expense			
11. Amortization Expense			
12. Customer Operations Expense			
13. Corporate Operations Expense			
14. Total Operating Expenses (8 thru 13)			
15. Operating Income or Margins (7 less 14)			
16. Other Operating Income and Expenses			
17. State and Local Taxes			
18. Federal Income Taxes			
19. Other Taxes			
20. Total Operating Taxes (17+18+19)			
21. Net Operating Income or Margins (15+16-20)			
22. Interest on Funded Debt			
23. Interest Expense - Capital Leases			
24. Other Interest Expense			
25. Allowance for Funds Used During Construction			
26. Total Fixed Charges (22+23+24-25)			
27. Nonoperating Net Income			
28. Extraordinary Items			
29. Jurisdictional Differences			
30. Nonregulated Net Income			
31. Total Net Income or Margins (21+27+28+29+30-26)			
32. Total Taxes Based on Income			
33. Retained Earnings or Margins Beginning-of-Year			
34. Miscellaneous Credits Year-to-Date			
35. Dividends Declared (Common)			
36. Dividends Declared (Preferred)			
37. Other Debits Year-to-Date			
38. Transfers to Patronage Capital			
39. Retained Earnings or Margins End-of-Period [(31+33+34) - (35+36+37+38)]			
40. Patronage Capital Beginning-of-Year			
41. Transfers to Patronage Capital			
42. Patronage Capital Credits Retired			
43. Patronage Capital End-of-Year (40+41-42)			
44. Annual Debt Service Payments			
45. Cash Ratio [(14+20-10-11) / 7]			
46. Operating Accrual Ratio [(14+20+26) / 7]			
47. TIER [(31+26) / 26]			
48. DSCR [(31+26+10+11) / 44]			

See Accountant's Compilation Report

REDACTED - FOR PUBLIC INSPECTION

USDA-RUS						BORROWER DESIGNATION	
OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS						KS0583	
INSTRUCTIONS - See RUS Bulletin 1744-2						PERIOD ENDED December, 2012	
Part C. SUBSCRIBER (ACCESS LINE), ROUTE MILE, & HIGH SPEED DATA INFORMATION							
EXCHANGE	1. RATES		2. SUBSCRIBERS (ACCESS LINES)			3. ROUTE MILES	
	B-1 (a)	R-1 (b)	BUSINESS (a)	RESIDENTIAL (b)	TOTAL (c)	TOTAL (including fiber) (a)	FIBER (b)
Buckeye							
Carlton							
Delevan							
Dunlap							
Dwight							
Hope							
Linconville							
Lost Springs							
Navarre							
Ramona							
White City							
Woodbine							
Wisey							
Council Grove							
Mobile Wireless					0		
Route Mileage Outside Exchange Area						0.00	0.00
Total							
No. Exchanges	14						

See Accountant's Compilation Report

<p align="center">USDA-RUS</p> <p align="center">OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS</p> <p align="center"><i>INSTRUCTIONS - See RUS Bulletin 1744-2</i></p>							<p>BORROWER DESIGNATION KS0583</p> <p>PERIOD ENDED December, 2012</p>	
Part C. SUBSCRIBER (ACCESS LINE), ROUTE MILE, & HIGH SPEED DATA INFORMATION								
4. BROADBAND SERVICE								
Details on Least Expensive Broadband Service								
EXCHANGE	No. Access Lines with BB available (a)	No Of Broadband Subscribers (b)	Number Of Subscribers (c)	Adverised Download Rate (Kbps) (d)	Adverised Upload Rate (Kbps) (e)	Price Per Month (f)	StandAlone/Pckg (i)	Type Of Technology (g)
Buckeye							StandAlone	DSL
Carlton							StandAlone	DSL
Delevan							StandAlone	DSL
Dunlap							StandAlone	DSL
Dwight							StandAlone	DSL
Hope							StandAlone	DSL
Linconville							StandAlone	DSL
Lost Springs							StandAlone	DSL
Navarre							StandAlone	DSL
Ramona							StandAlone	DSL
White City							StandAlone	DSL
Woodbine							StandAlone	DSL
Wilsey							StandAlone	DSL
Council Grove							StandAlone	DSL
Total								

See Accountant's Compilation Report

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS		BORROWER DESIGNATION KS0583			
INSTRUCTIONS- See RUS Bulletin 1744-2		PERIOD ENDING December, 2012			
PART D. SYSTEM DATA					
1. No. Plant Employees	2. No. Other Employees	3. Square Miles Served	4. Access Lines per Square Mile		
PART E. TOLL DATA					
1. Study Area ID Code(s) a. 411758 b. 411839 c. _____ d. _____ e. _____ f. _____ g. _____ h. _____ i. _____ j. _____	2. Types of Toll Settlements (Check one) <div style="display: flex; justify-content: space-between;"> <div> Interstate: <input type="checkbox"/> Average Schedule Intrastate: <input type="checkbox"/> Average Schedule </div> <div> <input checked="" type="checkbox"/> Cost Basis <input checked="" type="checkbox"/> Cost Basis </div> </div>				
PART F. FUNDS INVESTED IN PLANT DURING YEAR					
1. RUS, RTB, & FFB Loan Funds Expended					
2. Other Long-Term Loan Funds Expended					
3. Funds Expended Under RUS Interim Approval					
4. Other Short-Term Loan Funds Expended					
5. General Funds Expended (Other than Interim)					
6. Salvaged Materials					
7. Contribution in Aid to Construction					
8. Gross Additions to Telecom. Plant (1 thru 7)					
PART G. INVESTMENTS IN AFFILIATED COMPANIES					
INVESTMENTS (a)	CURRENT YEAR DATA		CUMULATIVE DATA		
	Investment This Year (b)	Income/Loss This Year (c)	Cumulative Investment To Date (d)	Cumulative Income/Loss To Date (e)	Current Balance (f)
1. Investment in Affiliated Companies - Rural Development					
2. Investment in Affiliated Companies - Nonrural Development					

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	BORROWER DESIGNATION KS0583 PERIOD ENDING December, 2012
PART H. CURRENT DEPRECIATION RATES	
Are corporation's depreciation rates approved by the regulatory authority with jurisdiction over the provision of telephone services? (Check one)	
<input checked="checked" type="checkbox"/> YES <input type="checkbox"/> NO	
EQUIPMENT CATEGORY	DEPRECIATION RATE
1. Land and support assets - Motor Vehicles	
2. Land and support assets - Aircraft	
3. Land and support assets - Special purpose vehicles	
4. Land and support assets - Garage and other work equipment	
5. Land and support assets - Buildings	
6. Land and support assets - Furniture and Office equipment	
7. Land and support assets - General purpose computers	
8. Central Office Switching - Digital	
9. Central Office Switching - Analog & Electro-mechanical	
10. Central Office Switching - Operator Systems	
11. Central Office Transmission - Radio Systems	
12. Central Office Transmission - Circuit equipment	
13. Information origination/termination - Station apparatus	
14. Information origination/termination - Customer premises wiring	
15. Information origination/termination - Large private branch exchanges	
16. Information origination/termination - Public telephone terminal equipment	
17. Information origination/termination - Other terminal equipment	
18. Cable and wire facilities - Poles	
19. Cable and wire facilities - Aerial cable - Metal	
20. Cable and wire facilities - Aerial cable - Fiber	
21. Cable and wire facilities - Underground cable - Metal	
22. Cable and wire facilities - Underground cable - Fiber	
23. Cable and wire facilities - Buried cable - Metal	
24. Cable and wire facilities - Buried cable - Fiber	
25. Cable and wire facilities - Conduit systems	
26. Cable and wire facilities - Other	

USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS		BORROWER DESIGNATION KS0583
INSTRUCTIONS – See help in the online application.		PERIOD ENDED December, 2012
PART I – STATEMENT OF CASH FLOWS		
1. Beginning Cash (Cash and Equivalents plus RUS Construction Fund)		
CASH FLOWS FROM OPERATING ACTIVITIES		
2. Net Income		
<i>Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities</i>		
3. Add: Depreciation		
4. Add: Amortization		
5. Other (Explain) Interest receivable, other accrued taxes		
<i>Changes in Operating Assets and Liabilities</i>		
6. Decrease/(Increase) in Accounts Receivable		
7. Decrease/(Increase) in Materials and Inventory		
8. Decrease/(Increase) in Prepayments and Deferred Charges		
9. Decrease/(Increase) in Other Current Assets		
10. Increase/(Decrease) in Accounts Payable		
11. Increase/(Decrease) in Advance Billings & Payments		
12. Increase/(Decrease) in Other Current Liabilities		
13. Net Cash Provided/(Used) by Operations		
CASH FLOWS FROM FINANCING ACTIVITIES		
14. Decrease/(Increase) in Notes Receivable		
15. Increase/(Decrease) in Notes Payable		
16. Increase/(Decrease) in Customer Deposits		
17. Net Increase/(Decrease) in Long Term Debt (Including Current Maturities)		
18. Increase/(Decrease) in Other Liabilities & Deferred Credits		
19. Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates & Other Capital		
20. Less: Payment of Dividends		
21. Less: Patronage Capital Credits Retired		
22. Other (Explain)		
23. Net Cash Provided/(Used) by Financing Activities		
CASH FLOWS FROM INVESTING ACTIVITIES		
24. Net Capital Expenditures (Property, Plant & Equipment)		
25. Other Long-Term Investments		
26. Other Noncurrent Assets & Jurisdictional Differences		
27. Other (Explain) Retirements & Salvage		
28. Net Cash Provided/(Used) by Investing Activities		
29. Net Increase/(Decrease) in Cash		
30. Ending Cash		

Revision Date 2010

See Accountant's Compilation Report



INDEPENDENT ACCOUNTANT'S COMPILATION REPORT

To The Board of Directors
Tri-County Telephone Association, Inc.
Council Grove, KS 66846

We have compiled the balance sheets of The Tri-County Telephone Association, Inc., (a Kansas Cooperative) as of December 31, 2012 and 2011, and the statements of income and retained earnings or margins for the years ended December 31, 2012, and cash flows for the year ended December 31, 2011, included in the accompanying prescribed form. We have also compiled the supplementary information in Parts "E", "F", "G" and "H" of the accompanying prescribed form. We have not audited or reviewed the financial statements and the supplementary information in Parts "E", "F", "G" and "H" and, accordingly, do not express an opinion or provide any assurance about whether the financial statements and the supplementary information in Parts "E", "F", "G", and "H" is in accordance with the form prescribed by the Rural Development Utilities Program.

Management is responsible for the preparation and fair presentation of the financial statements and the supplementary information in Parts "E", "F", "G" and "H" in accordance with requirements prescribed by Rural Development Utilities Program and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statement and the supplementary information in Parts "E", "F", "G" and "H".

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist the management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statement and the supplementary information in Parts "E", "F", "G" and "H".

These financial statements and the supplementary information in Parts F, G and H are presented in accordance with the requirements of the Rural Development Utilities Program, which differ from accounting principles generally accepted in the United States of America. This report is intended solely for the information and use of the Rural Development Utilities Program, Federal Communications Commission, Universal Service Administrative Company and the Kansas Corporation Commission and is not intended to be and should not be used by anyone other than this specified party.

The supplementary information contained in Parts "C" and "D" of the accompanying prescribed form has not been audited, reviewed, or compiled by us, and, accordingly, we assume no responsibility for that information.

A handwritten signature in cursive script that reads 'Kiesling Accountants LLP'.

Colorado Springs, CO
April 1, 2013

Tri-County Telephone Association, Inc.
Memorandum on Inclusion of RUS Operating Report with FCC Form 481 Filing
For the Year Ended 12/31/12

All RUS/RTB/USDA loans for Tri-County Telephone Association, Inc. were combined with those of their wholly owned subsidiary Council Grove Telephone Company beginning October 2009. The RUS Operating report is prepared and filed on a combined basis, which includes the financial information for both Tri-County Telephone Association, Inc. and Council Grove Telephone Company.

For purposes of filing the RUS Operating Report as of 12/2012 with the FCC Form 481, We are including the RUS Operating Report that was filed with the USDA, which includes financial information for both Companies stated above. We have chosen to also include the individual company financial information for Tri-County Telephone Association, Inc. in the financial format presented in the Form 481 for your reference.



INDEPENDENT ACCOUNTANT'S COMPILATION REPORT

To the Board of Directors
Tri-County Telephone Association, Inc.
Council Grove, KS 66846

We have compiled the accompanying balance sheets of Tri-County Telephone Association, Inc. (a Kansas cooperative) as of December 31, 2012 and 2011, and the related statements of income and retained earnings or margins for the years ended December 31, 2012 and 2011, and cash flows for the year ended December 31, 2012, included in the accompanying prescribed form. We have not audited or reviewed the financial statements included in the accompanying prescribed form and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the form prescribed by the Federal Communications Commission (FCC).

Management is responsible for the preparation and fair presentation of the financial statements included in the form prescribed by the FCC and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

The financial statements included in the accompanying prescribed form are presented in accordance with the requirements of the FCC, and are not intended to be a presentation in accordance with accounting principles generally accepted in the United States of America.

This report is intended solely for the information and use of the FCC, Universal Service Administrative Company and the Kansas Corporation Commission and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in black ink that reads 'Kiesling Accountants LLP'.

Colorado Springs, CO
October 4, 2013

(3005a) Operating Report for Privately-Held Rate of Return Carriers Balance Sheet - Data Collection Form Page 1 of 3	FCC Form 481 OMB Control No. 3060-0986 OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	411839
<015> Study Area Name	The Tri-County Telephone Association, Inc
<020> Program Year	2012
<030> Contact Name - Person USAC should contact regarding this data	Jason Pettit
<035> Contact Telephone Number - Number of person identified in data line <030>	620-767-5153
<039> Contact Email Address - Email Address of person identified in data line <030>	JPettit@tctainc.net

Filed as reviewed single company <input type="checkbox"/> Filed as reviewed consolidated company <input type="checkbox"/> Filed as subsidiary of reviewed consolidated company <input type="checkbox"/>	Filed as audited single company <input checked="" type="checkbox"/> Filed as audited consolidated company <input type="checkbox"/> Filed as subsidiary of audited consolidated company <input type="checkbox"/>
---	---

CERTIFICATION					
We hereby certify that the entries in this report are in accordance with the accounts and other records of the system and reflect the status of the system to the best of our knowledge and belief.					
Signature _____		Date _____			
PART A. BALANCE SHEET					
ASSETS	BALANCE PRIOR YEAR	BALANCE END OF PERIOD	LIABILITIES AND STOCKHOLDERS' EQUITY	BALANCE PRIOR YEAR	BALANCE END OF PERIOD
CURRENT ASSETS			CURRENT LIABILITIES		
1. Cash and Equivalents			25. Accounts Payable		
2. Cash-RUS Construction Fund			26. Notes Payable		
3. Affiliates:			27. Advance Billings and Payments		
a. Telecom, Accounts Receivable			28. Customer Deposits		
b. Other Accounts Receivable			29. Current Mat. L/T Debt		
c. Notes Receivable			30. Current Mat. L/T Debt-Rur. Dev.		
4. Non-Affiliates:			31. Current Mat.-Capital Leases		
a. Telecom, Accounts Receivable			32. Income Taxes Accrued		
b. Other Accounts Receivable			33. Other Taxes Accrued		
c. Notes Receivable			34. Other Current Liabilities		
5. Interest and Dividends Receivable			35. Total Current Liabilities (25 thru 34)		
6. Material-Regulated			LONG-TERM DEBT		
7. Material-Nonregulated			36. Funded Debt-RUS Notes		
8. Prepayments			37. Funded Debt-RTB Notes		
9. Other Current Assets			38. Funded Debt-FFB Notes		
10. Total Current Assets (1 thru 9)			39. Funded Debt-Other		
			40. Funded Debt-Rural Develop. Loan		
NONCURRENT ASSETS			41. Premium (Discount) on L/T Debt		
11. Investment in Affiliated Companies			42. Reacquired Debt		
a. Rural Development			43. Obligations Under Capital Lease		
b. Nonrural Development			44. Adv. From Affiliated Companies		
12. Other Investments			45. Other Long-Term Debt		
a. Rural Development			46. Total Long-Term Debt (36 thru 45)		
b. Nonrural Development			OTHER LIAB. & DEF. CREDITS		
13. Nonregulated Investments			47. Other Long-Term Liabilities		
14. Other Noncurrent Assets			48. Other Deferred Credits		
15. Deferred Charges			49. Other Jurisdictional Differences		
16. Jurisdictional Differences			50. Total Other Liabilities and Deferred Credits (47 thru 49)		
17. Total Noncurrent Assets (11 thru 16)			EQUITY		
PLANT, PROPERTY, AND EQUIPMENT			51. Cap. Stock Outstanding & Subscribed		
18. Telecom, Plant-in-Service			52. Additional Paid-in-Capital		
19. Property Held for Future Use			53. Treasury Stock		
20. Plant Under Construction			54. Membership and Cap. Certificates		
21. Plant Adj., Nonop. Plant & Goodwill			55. Other Capital		
22. Less Accumulated Depreciation			56. Patronage Capital Credits		
23. Net Plant (18 thru 21 less 22)			57. Retained Earnings or Margins		
			58. Total Equity (51 thru 57)		
24. TOTAL ASSETS (10+17+23)			59. TOTAL LIABILITIES AND EQUITY (35+46+50+58)		

REDACTED - FOR PUBLIC INSPECTION

Page 2

(3005b) Operating Report for Privately-Held Rate of Return Carriers
Income Statement - Data Collection Form

FCC Form 481

OMB Control No. 3060-0986

OMB Control No. 3060-0819

Page 2 of 3

July 2013

<010> Study Area Code 411839

<015> Study Area Name The Tri-County Telephone Association, Inc

<020> Program Year 2012

<030> Contact Name - Person USAC should contact regarding this data Jason Pettit

<035> Contact Telephone Number - Number of person identified in data line <030> 620-767-5153

<039> Contact Email Address - Email Address of person identified in data line <030> JPettit@tctaInc.net

PART B. STATEMENTS OF INCOME AND RETAINED EARNINGS OR MARGINS	
ITEM	THIS YEAR
1. Local Network Services Revenues	
2. Network Access Services Revenues	
3. Long Distance Network Services Revenues	
4. Carrier Billing and Collection Revenues	
5. Miscellaneous Revenues	
6. Uncollectible Revenues	
7. Net Operating Revenues (1 thru 5 less 6)	
8. Plant Specific Operations Expense	
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)	
10. Depreciation Expense	
11. Amortization Expense	
12. Customer Operations Expense	
13. Corporate Operations Expense	
14. Total Operating Expenses (8 thru 13)	
15. Operating Income or Margins (7 less 14)	
16. Other Operating Income and Expenses	
17. State and Local Taxes	
18. Federal Income Taxes	
19. Other Taxes	
20. Total Operating Taxes (17+18+19)	
21. Net Operating Income or Margins (15+16-20)	
22. Interest on Funded Debt	
23. Interest Expense - Capital Leases	
24. Other Interest Expense	
25. Allowance for Funds Used During Construction	
26. Total Fixed Charges (22+23+24-25)	
27. Nonoperating Net Income	
28. Extraordinary Items	
29. Jurisdictional Differences	
30. Nonregulated Net Income	
31. Total Net Income or margins (21+27+28+29+30-26)	
32. Total Taxes Based on Income	
33. Retained Earnings or Margins Beginning-of-Year	
34. Miscellaneous Credits Year-to-Date	
35. Dividends Declared (Common)	
36. Dividends Declared (Preferred)	
37. Other Debits Year-to-Date	
38. Transfers to Patronage Capital	
39. Retained Earnings or Margins end-of-Period [(31+33+34)-(35+36+37+38)]	
40. Patronage Capital Beginning-of-Year	
41. Transfers to Patronage Capital	
42. Patronage Capital Credits Retired	
43. Patronage Capital End-of-Year (40+41-42)	
44. Annual Debt Service Payments	
45. Cash Ratio [(14+20-10-11)/7]	
46. Operating Accrual Ratio [(14+20+26)/7]	
47. TIER [(31+26)/26]	
48. DSCR [(31+26+10+11)/44]	

(3005c) Operating Report for Privately-Held Rate of Return Carriers
Cash Flow - Data Collection Form

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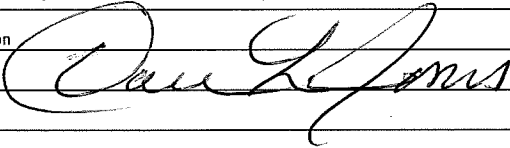
<010> Study Area Code	411839
<015> Study Area Name	The Tri-County Telephone Association, Inc.
<020> Program Year	2012
<030> Contact Name - Person USAC should contact regarding this data	Jason Pettit
<035> Contact Telephone Number - Number of person identified in data line <030>	620-767-5153
<039> Contact Email Address - Email Address of person identified in data line <030>	JPetit@tctainc.net

PART C. STATEMENTS OF CASH FLOWS	
1. Beginning Cash (Cash and Equivalents plus RUS Construction Fund)	
CASH FLOWS FROM OPERATING ACTIVITIES	
2. Net Income	
Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities	
3. Add: Depreciation	
4. Add: Amortization	
5. Other (Explain) - Interest receivable and other accrued taxes	
Changes in Operating Assets and Liabilities	
6. Decrease/(Increase) in Accounts Receivable	
7. Decrease/(Increase) in Materials and Inventory	
8. Decrease/(Increase) in Prepayments and Deferred Charges	
9. Decrease/(Increase) in Other Current Assets	
10. Increase/(Decrease) in Accounts Payable	
11. Increase/(Decrease) in Advance Billings & Payments	
12. Increase/(Decrease) in Other Current Liabilities	
13. Net Cash Provided/(Used) by Operations	
CASH FLOWS FROM FINANCING ACTIVITIES	
14. Decrease/(Increase) in Notes Receivable	
15. Increase/(Decrease) in Notes Payable	
16. Increase/(Decrease) in Customer Deposits	
17. Net Increase/(Decrease) in Long Term Debt (Including Current Maturities)	
18. Increase/(Decrease) in Other Liabilities & Deferred Credits	
19. Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates & Other Capital	
20. Less: Payment of Dividends	
21. Less: Patronage Capital Credits Retired	
22. Other (Explain)	
23. Net Cash Provided/(Used) by Financing Activities	
CASH FLOWS FROM INVESTING ACTIVITIES	
24. Net Capital Expenditures (Property, Plant & Equipment)	
25. Other Long-Term Investments	
26. Other Noncurrent Assets & Jurisdictional Differences	
27. Other (Explain) - Retirements and Salvage	
28. Net Cash Provided/(Used) by Investing Activities	
29. Net Increase/(Decrease) in Cash	
30. Ending Cash	

Certification - Reporting Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986 OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	411839
<015>	Study Area Name	Tri-County Tel Assn.
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jason C. Pettit
<035>	Contact Telephone Number - Number of person identified in data line <030>	620-767-5153
<039>	Contact Email Address - Email Address of person identified in data line <030>	jpettit@tctainc.net

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier: Tri-Count Telephone Association	
Signature of Authorized Officer:	 Date 10/16/13
Printed name of Authorized Officer: Dale L. Jones	
Title or position of Authorized Officer: CEO	
Telephone number of Authorized Officer: 620-767-5153	
Study Area Code of Reporting Carrier:	411839 Filing Due Date for this form: 10/15/2013
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	